



ASC Manual Only

ASC Wipop Data Submission Manual

ASC Instructions Related to 837 Health Care Claim/Encounter Requirements and Companion Guide/Technical Specifications



MAY 9, 2023

WHA INFORMATION CENTER

5510 Research Park Drive, Fitchburg, WI 53711

ASC MANUAL

WHAIC Staff and Contact Information

(608) 274-1820 (Madison area)

(800) 231-8340 (Toll Free)

WHAInfocenter@wha.org

WHA Information Center Staff:

Jennifer Mueller, MBA, RHIA, FACHE, FAHIMA

WHAIC Vice President and Privacy Officer

Brian Competente, Director of Operations
(Data Sales and Custom Data Requests)

WHAIC Data Submission and General Questions

[Cindy Case](#), Director, Data Integrity

[Heather Scambler](#), Data Coordinator and Outreach Specialist

NOTICE:

The template is Copyright © 2011 by the Workgroup for Electronic Data Interchange (WEDI) and the Data Interchange Standards Association (DISA), on behalf of the Accredited Standards Committee (ASC) X12. All rights reserved. It may be freely redistributed in its entirety provided that this copyright notice is not removed. It may not be sold for profit or used in commercial documents without the written permission of the copyright holder. This template document is provided “as is” without any express or implied warranty. Not that the copyright on the underlying ASC X12 Standards is held by DISA on behalf of ASC X12.

The additional content within the template (and the derivative work) is copyright © 2017-2020 Wisconsin Hospital Association Information Center LLC. All rights reserved.

Preface:

This Companion Guide (CG) contains two types of data: Instructions for electronic communications with WHAIC and **supplemental information for creating transactions for statutorily required data submissions** while ensuring compliance with the associated ASCX12 IG (Transaction Instructions).

Disclaimer:

WHAIC strives to make the information in this document as current and accurate as possible at the time of writing and distribution. WHAIC makes no claims, promises, or guarantees about the accuracy, completeness, or adequacy of the contents of this document. The manual and contents contained herein are for educational purposes only and do not purport to provide legal advice or advice on constructing an 837claim file.

This document provides only the segments, loops and elements which are relevant to WHAIC data collection specifications as defined by the WI State Statute and mapped / defined by a field in Wlpop. This document is not intended to serve as a complete 837 reference, and not all requirements for a valid 837 file are specified. Elements not mentioned in this document will be discarded by WHAIC prior to the file processing in Wlpop, if supplied. For more information: <http://store.x12.org/store/healthcare-5010-original-guides> or <http://www.wpc-edi.com>

ABOUT US

WHA Information Center (WHAIC) is dedicated to collecting, analyzing and disseminating complete, accurate and timely discharge data and reports about charges, utilization, and quality of care provided by Wisconsin hospitals, ambulatory surgery centers and other healthcare providers.

WHAIC is a wholly owned subsidiary of the **Wisconsin Hospital Association** and was incorporated on October 1, 2003. WHAIC began collecting data in January 2004 under contract with the Wisconsin Department of Administration.

The WHAIC Web site at <http://www.whainfocenter.com/> contains the latest information about WHAIC, the hospital and ASC data reporting process, and other WHAIC activities and publications. The WHAIC website also contains a Resource Tab related Wisconsin legislation. [Chapter 153](#), [Admin Code 120](#)

Wlpop Data Submission Manual / Companion Guide <http://www.whainfocenter.com/Data-Submitters>

Wlpop Compliance and IC Updates ([Newsletter](#))

As a subsidiary to the Wisconsin Hospital Association (WHA) we encourage all WHAIC data submitters to utilize the resources available to them as member hospitals and participate in educational opportunities and events such as Advocacy Day, Coding, Compliance and Educational Webinars as well as other events located on the [WHA website](#).

As stated by WHA Vice President of Education, “WHA offers quality, timely and cost-effective educational opportunities designed to assist our members in remaining current and able to respond to advances in health care. WHA aims to address the educational needs of member staff at various levels by offering a wide variety of on-site and web-based educational programs that assist hospitals in meeting state and federal regulations and maintain quality delivery of patient care.”

In addition to collecting discharge data, WHAIC staff also collects and posts hospital rate increases, Milwaukee County Hospital Utilization Data, hospital’s annual and fiscal data, uncompensated care data, and other system survey information.

CONTENTS

About Us	4
1. WHAIC Data Submission with a HIPAA Complaint 837 claims file format	8
1.1 Background and Overview of HIPAA Legislation	9
1.2 Intended Use	9
1.3 References information.....	10
1.4 Changes to this document.....	10
2. Discharge Data Collection Overview	11
2.1 Discharge Data Parameters and Limitations	13
2.2 Ambulatory (day/outpatient) Surgery Records (OPS)	16
3. Wlpop Access and Discharge Data Submission	18
3.1 Access to Wlpop - Portal Login.....	18
3.2 Summary of Data Submission Process	19
3.3 Security of Data Submission	20
3.4 Testing HIPAA Compliant 837 File.....	20
3.5 How to Submit Data in Wlpop.....	21
3.6 How to file for an extension	25
3.7 WHAIC Support.....	27
4. Specific Business Rules, Mapping and Limitations	28
4.1 Unique / Encrypted Case ID:	28
4.2 Race and Ethnicity:	30
4.3 Expected Source of Payment/Payer Mapping:.....	31

4.4	Type of Bill (TOB):.....	32
4.5	Revenue Codes.....	33
4.6	External Cause of Injury (ECI) Codes.....	33
4.7	Language.....	34
5.	837 Data Submission and Technical Requirements.....	36
5.1	Interchange Control Header (ISA06).....	36
5.2	WHAIC 837 File Handler and De-Identification Program.....	36
5.3	Delimiters in the Segment of the file.....	37
5.4	Special Characters in the Claims Data.....	38
5.5	Mapping Rules and 837 File Specifications.....	38
5.6	837P (ASC) Professional Claim Submissions - ASCs.....	40
6.	Batch Details, Validation and Affirmation Process.....	52
6.1	File (Batch) Failures.....	53
	Removing Duplicates from File Submission.....	53
6.2	Batch File Edits.....	54
	Custom bypass edits.....	54
6.3	Correcting Edits.....	56
6.4	Data Validation (Obtaining and viewing reports).....	56
6.5	Affirmation Statement.....	58
6.6	Communication with External Sources and Data Set Release/Caveats.....	59
6.7	Batch File Alerts.....	60
7.	APPENDICES.....	65
7.1	Facility List (Hospital and ASCs).....	65

7.2	Race and Ethnicity Codes	77
7.2.1	Language Codes	78
7.3	Expected Source of Payment and 837 Payer Mapping	81
7.4	Type of Bill (TOB)	96
7.5	Place of Service (POS) or Type of Encounter Hierarchy	97
7.6	WIPOP Coding Guidelines and Definitions for Data Submission	98
7.7	Edit Codes and Descriptions	123
7.8	Wipop Roles and Registration	147
7.9	837 Interface/Black Box UCID/ECID - De-identification of Name	154
7.10	Data Dictionary (see main hospital manual)	158
7.11	Manual Data Entry Instructions	159
7.12	Marital Status Codes	168
7.13	Terms, Acronyms, and Definitions	169
7.14	Frequently Asked Questions (FAQ)	177

1. WHAIC DATA SUBMISSION WITH A HIPAA COMPLAINT 837 CLAIMS FILE FORMAT

Wisconsin Hospital Association Information Center (WHAIC) collects data from **Medicare Certified Wisconsin Hospitals and Freestanding Ambulatory Surgery Centers**.

Pursuant to [Chapter 153, Wisconsin Statutes](#), the WHAIC has been authorized by the Wisconsin Department of Administration to collect and report hospital and freestanding ambulatory surgery center data. WHAIC collects data quarterly and produces public use data sets, custom data sets and four annual publications.

Chapter 153 of the Wisconsin Statutes directs what information must be submitted to WHAIC; however, although health care has evolved tremendously over the past three decades, many sections of the statute had not been updated until April of 2016, when the Wisconsin Health Care Data Modernization Act was passed. The Health Care Data Modernization Act removed outdated provisions in Chapter 153 and included an opportunity to bring Chapter 153 into greater alignment with the national ANSI 837 standard.

Effective with Q118 dates of services, hospitals and FASC, herein referred to as (“facilities”) submit data in a modified HIPAA Complaint 837 claims file format. Data collection is based on valid HIPAA ASC X12 837I and 837P transactions (including 837R – Reporting) electronic data interface reporting (EDI) format.

The WHAIC Wipop Manual and Technical Specification Guide follows the national ANSI 837 standards and provides specifications for the submission of inpatient and outpatient hospital data, and FASC data to the WHAIC. Failure to comply with the requirements outlined in the Statutes, or submission deadlines as referenced in this Companion Guide, may result in a non-compliance letter to the Wisconsin Department of Administration and may include significant penalties and forfeitures.

The Statute also states facilities that use a third-party vendor shall provide a copy of the trading partner agreement if the service of a third-party vendor is used to prepare and submit patient claims/records to WHAIC. As per *Wisconsin Administrative Code [DHS 120.12 \(5\) \(b\) 6 \(a\) and 120.13\(2\) \(d\) 1](#)*. *“To ensure confidentiality, hospitals and freestanding ambulatory surgery centers using qualified vendors to submit data shall provide to [WHAIC] **an original trading partner agreement that has been signed and notarized by the qualified vendor and the hospital or ambulatory surgery center**. 2. Hospitals and [ASC] shall be accountable for their qualified vendor’s failure to submit and edit data in the formats required by [WHAIC]”.*

1.1 Background and Overview of HIPAA Legislation

The Health Insurance Portability and Accountability Act (HIPAA) of 1996 carries provisions for administrative simplification. This requires the Secretary of the Department of Health and Human Services (HHS) to adopt standards to support the electronic exchange of administrative and financial health care transactions primarily between health care providers and plans. HIPAA directs the Secretary to adopt standards for transactions to enable health information to be exchanged electronically and to adopt specifications for implementing each standard HIPAA serves to:

Create better access to health insurance

Limit fraud and abuse

Reduce administrative costs

The HIPAA regulations at 45 CFR 162.915 require that covered entities not enter into a trading partner agreement that would do any of the following:

- Change the definition, data condition, or use of a data element or segment in a standard.
- Add any data elements or segments to the maximum defined data set.
- Use any code or data elements that are marked “not used” in the standard’s implementation specifications or are not in the standard’s implementation specification(s).
- Change the meaning or intent of the standard’s implementation specification(s).

1.2 Intended Use

Compliance according to ASC X12 requirements include specific restrictions that prohibit trading partners from:

- Modifying any defining, explanatory, or clarifying content contained in the implementation guide.
- Modifying any requirement contained in the implementation guide.

The Transaction Instruction component of this companion guide must be used in conjunction with an associated ASC X12 Implementation Guide. The instructions in this companion guide are **not intended to be stand-alone requirements documents**. This companion guide conforms to all the requirements of any associated ASC X12 Implementation Guides and is in conformance with ASC X12’s Fair Use and Copyright statements.

1.3 References information

The WHAIC 837 claims file format used to submit discharge data into Wlpop draws from the American National Standards Institutes (ANSI) standards and the Accredited Standards Committee X12, National Electronic Data Interchange Transaction Set Implementation Guide, Health Care Claim: For more information: <http://store.x12.org/store/healthcare-5010-original-guides> or <http://www.wpc-edi.com>

- 837 Institutional Health Care Claim – ASC X12N 837 (005010X223A2)
- 837 Professional Health Care Claim – ASC X12N 837 (005010X222A1)
- 837 Reporting Health Care Claim – ASC X12 837 (005010X225A2)

Only the sections required by the State of Wisconsin Statutory Requirements as define in Chapter 153 and collected by WHAIC or situational ANSI 837 Institutional and Professional Guide sections are reproduced in this manual.

1.4 Changes to this document

The following version history is provided to easily identify updates between Companion Guide Versions. Each update is numbered. All corresponding areas of the document related to this update are also numbered.

Please check the WHAIC website at: <http://www.whainfocenter.com/submitters/837-Implementation/> for the most recent version of this document and any supplemental resources.

Change Number	Version	Date	Author of Change	Update includes
1	1	05/01/2020	Cindy	Created ASC Manual
2	1	11/2/2020	CC	Updated payers
3	1	08/2021	Cc	Updated links, 837 file handler, Alert Codes, FAQs,